



Practitioner's Docket No. U 013615-2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **MASAHIRO YATAKE**

Serial No.: 09/914,482

Group No.: --

Filed: AUGUST 28, 2001

Examiner: --

For: INK COMPOSITION FOR INK-JET RECORDING AND INK SET COMPRISING
THE SAME

Assistant commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is

- ☐ a small entity. A statement:
☐ is attached.
☐ was already filed.
☒ other than a small entity.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service
with sufficient postage as first class mail in an
envelope addressed to the Assistant
Commissioner for Patents, Washington, D.C.
20231.

Date: September 25, 2001

FACSIMILE

- ☐ transmitted by facsimile to the Patent and
Trademark Office.

Signature

CLIFFORD J. MASS

(type or print name of person certifying)

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	OR	Addit. Fee
Total	21	Minus	20	= 1	x \$ 9 = \$			x \$18 = \$ 18.00
Indep.	1	Minus	***	=	x \$40 = \$			x \$80 = \$
[] First Presentation of Multiple Dependent Claim					+ \$135 = \$			+ \$270 = \$
Total Addit. Fee \$							OR	Total Addit. Fee \$18.00

* If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: "After final rejection or action (§ 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

- (c) ☐ No additional fee for claims is required.

OR

- (d) ☒ Total additional fee for claims required \$ 18.00.

FEE PAYMENT

5. ☒ Attached is a check in the sum of \$ 18.00.
- ☐ Charge Account No. 12-0425 the sum of \$ _____.
- A duplicate of this transmittal is attached.

FEE DEFICIENCY

NOTE: *If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).*

6. ☒ If any additional extension and/or fee is required, charge Account No. 12-0425.

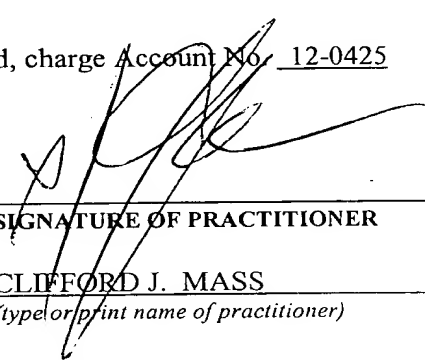
AND/OR

- ☒ If any additional fee for claims is required, charge Account No. 12-0425

Reg. No. 30,086

Tel. No. (212)708-1890

Customer No. 00140



SIGNATURE OF PRACTITIONER

CLIFFORD J. MASS

(type or print name of practitioner)

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **MASAHIRO YATAKE**, et al

Serial No.: 09/914,482

Group No.: --

Filed: August 28, 2001

Examiner.: --

For: **INK COMPOSITION FOR INK-JET RECORDING AND INK SET COMPRISING THE SAME**

Attorney Docket No.: U 013615-2

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

PRELIMINARY AMENDMENT

Please amend the above-identified application as follows:

IN THE CLAIMS:

3. (Amended) The ink composition according to claim 1, wherein the colorant comprises a water-soluble dye and/or a pigment.

5. (Amended) The ink composition according to claim 1, wherein the compound represented by formula (I) is a mixture of at least two or more compounds selected from the group consisting of the compounds represented by formula (I).

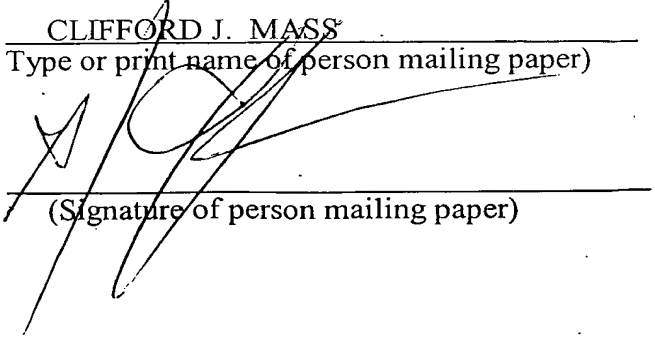
CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231

Date: September 25, 2001

CLIFFORD J. MASS

Type or print name of person mailing paper)


(Signature of person mailing paper)